

The Kagiso unit trust range is offered by Kagiso Collective Investments Limited, ("Kagiso") registration number 2010/009289/06, a member of the Association for Savings and Investment SA (ASISA). Kagiso Collective Investments Limited is a subsidiary of Kagiso Asset Management to which the investment of its unit trust funds is outsourced.

Confidential

To: Kagiso Collective Investments Limited
PO Box 1016
Cape Town
8000
Fax: 086 673 9294

I/We hereby apply for appointment as an Intermediary to promote and market the Selected Products of Kagiso. Unless the context indicates otherwise, expressions used herein which are defined in the Terms and Conditions (attached as Annexure A hereto) shall have the same meaning as that set out in the Terms and Conditions.

◆ A. Corporate Information

Registered Name of Business: _____

Trading name: _____

Registration Number: _____ Income Tax Number: _____

VAT Number: _____ FSP License Number: _____
(attach a copy of license)

Office Address (Physical): _____

Office Address (Physical): _____

Telephone Number: _____ Fax Number: _____

Cell Number: _____

Email Address: _____ Website Address: _____

Business Status: Sole Proprietor/Natural Person Pty (Ltd) Partnership Close Corporation Public Company
 Other (please specify) _____

Number of years established: _____

Current Professional Memberships:

Contracts with other Unit Trusts/Life Companies/LISP's:



Applicant's Initials

Business References (Please specify 3 references):

Contact Name	Company	Telephone Number

◆ B. List of Representatives and Key Individuals of the Advisor

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national):

Please attach a certified copy of your ID or Passport.

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national):

Please attach a certified copy of your ID or Passport.

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national):

Please attach a certified copy of your ID or Passport.

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national):

Please attach a certified copy of your ID or Passport.

Title: _____ Surname: _____

First name(s): _____

ID or Passport number (if foreign national):

Please attach a certified copy of your ID or Passport.

If there are additional advisors, these should be provided on an extra page.

◆ C. Information Requirements and Supporting Documentation

(Copies of the supporting documentation is sufficient as long as all text and photographs are clear and legible).

PART 1

(a) Information to be specified in respect of every manager, close corporation member, partner and person authorised to transact on behalf of the entity:

- ◆ Person's full names
- ◆ Identity number or passport number for non-SA residents
- ◆ Date of birth
- ◆ Residential address
- ◆ Contact particulars
- ◆ E-mail address



Applicant's Initials

- (b) **Supporting documentation required in respect of every manager, close corporation member, partner and person authorised to transact on behalf of the entity:**
 Copy of ID document (SA residents) / passport (foreign nationals)
- (c) **Supporting documentation required in respect of companies, close corporations, partnerships and other legal entities:**
 Proof of SA income tax number (e.g. any SARS issued document bearing name and tax number)
 Proof of VAT number (e.g. any SARS issued document bearing name and VAT number)
 Proof of registered address (e.g. registration documents)
 Proof of business address (e.g. bank statement, utility bill or telephone account)
 Proof of trading name (e.g. copy of a letterhead)
 Proof of banking details (e.g. bank statement or cancelled cheque less than three months old)
- (d) **Additional supporting documentation required in respect of a company:**
 Copy of Certificate of Incorporation (CM1) bearing Registrar's stamp and company secretary's signature or such other official documentation for foreign companies
 Copy of Notice of Registered Office and Postal Address (CM22)
- (e) **Additional supporting documentation required in respect of a close corporation:**
 Founding Statement and Certificate of Incorporation (CK1) bearing Registrar's stamp and company secretary's signature or such other official documentation for foreign companies
 Amended Founding Statement (CK2)
- (f) **Additional supporting documentation required in respect of other legal entities:**
 Constitution or founding document
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PART 2 (IF APPLICABLE)

- (a) **Information to be specified in respect of every holder of 25% or more of the voting rights within the entity:**

If such holder is a natural person:

- ◆ Person's full names
- ◆ Identity number or passport number for non-SA residents
- ◆ Date of birth
- ◆ Residential address
- ◆ Contact particulars

If such holder is a company / foreign company / close corporation / partnership / trust:

- ◆ Registered name
- ◆ Trading name
- ◆ Legal form
- ◆ Contact name
- ◆ Registration number (if applicable)
- ◆ Registered address
- ◆ Business address
- ◆ Contact particulars

- (b) **Supporting documentation required in respect of every holder of 25% or more of the voting rights within the entity:**

If a natural person:

Copy of ID document (SA residents) / passport (foreign nationals)

If a company (SA/foreign) / close corporation / partnership / other legal entity / trust:

Proof of business address (e.g. bank statement, utility bill or telephone account)
 Proof of registered address (e.g. registration documents)
 Proof of trading name (e.g. copy of a letterhead)



◆ F. Declaration

I/We hereby consent, where this is applicable to me/us as registered VAT vendor(s), to the use by Kagiso Collective Investments Limited of self-invoicing, and confirm that I/we will not issue tax invoices, debit notes or credit notes in respect of the fees payable to me/us by Kagiso Collective Investments Limited.

I/We warrant the above information to be correct. Kagiso shall not be liable for any loss of damage suffered on account of incorrect information provided by me/us or as a result of a change in my/our information or my/our misrepresentation or my/our involvement in any fraudulent act.

I/We undertake to advise Kagiso in writing should any of the details completed herein change subsequent to signature hereof by me/us.

I/We further acknowledge that this Application Form, together with the Terms and Conditions attached hereto as Annexure A, form the basis on which Kagiso appoints me/us, and I/we agree to be bound by the said Terms and Conditions.

I/We accept that Kagiso may authorise my/our identity via a credit bureau.

I/We accept that Kagiso reserves the right to not appoint me/us as Intermediary for whatever reason.

I/We acknowledge and accept that the Agreement will only be concluded when an authorised representative of Kagiso has signed this Intermediary Application Form and I/we have received confirmation in writing from Kagiso that the Agreement has been concluded.

Date: _____ Place: _____

Authorised signatories: _____

Name: _____ Capacity: _____

◆ G. Acceptance by Kagiso - FOR OFFICE USE ONLY

Date: _____ Place: _____

Signed: _____ Approved: _____

Name: _____ Name: _____

Capacity: _____ Capacity: _____

